

# **Royal Fund Management, LLC DBA Triplett-Westendorf Financial Group**

1515 Buenos Aires Blvd.  
The Villages, FL 32159

(352) 750-1637

Form ADV Part 2B - Individual Disclosure Brochure

*for*

## **Mark R. Triplett**

Investment Adviser Representative  
CRD# 4853084

**10090 Garrison Street  
Westminster, CO 80021**

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Urbandale, IA 50322**

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This brochure supplement provides information about Mark R. Triplett that supplements the Royal Fund Management, LLC brochure. You should have received a copy of that brochure. Contact us at 352-750-1637 if you did not receive Royal Fund Management, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Mark R. Triplett is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 Educational Background and Business Experience

**Your Financial Adviser:** Mark R. Triplett

*Year of Birth:* 1980

*Formal Education After High School:*

- Simpson College, BA Marketing, 2003

*Business Background:*

- Royal Fund Management, LLC dba Triplett-Westendorf Financial Group, Investment Adviser Representative, 1/2016 - Present
- Triplett-Westendorf College Consulting, Owner, 01/2018 - Present
- Lolly Co., Independent Contractor/Insurance Agent, 01/2017 - Present
- Partners Advantage, National Vice President, 03/2018 - 03/2019
- Partners Advantage fka AMZ Financial Insurance Services, LLC, President Mature Markets, 11/2007 - 03/2018

## Item 3 Disciplinary Information

Mr. Mark R. Triplett does not have any reportable disciplinary disclosure.

## Item 4 Other Business Activities

Mark R. Triplett is separately licensed as an independent insurance agent. In this capacity, he can effect transactions in insurance products for his clients and earn commissions for these activities. The fees you pay our firm for advisory services are separate and distinct from the commissions earned by Mr. Triplett for insurance related activities. This presents a conflict of interest because Mr. Triplett may have an incentive to recommend insurance products to you for the purpose of generating commissions rather than solely based on your needs. However, you are under no obligation, contractually or otherwise, to purchase insurance products through any person affiliated with our firm.

Mr. Triplett is Owner of Triplett -Westendorf College Consulting, a college consulting firm. Mr. Triplett's duties as the Owner of Triplett -Westendorf College Consulting do not create a conflict of interest to his provision of advisory services through Royal Fund Management, LLC. You are under no obligation, contractually or otherwise, to engage in college consulting services products.

## Item 5 Additional Compensation

Refer to the *Other Business Activities* section above for disclosures on Mr. Triplett's receipt of additional compensation as a result of his other business activities.

Also, refer to the *Fees and Compensation, Client Referrals and Other Compensation, and Other Financial Industry Activities and Affiliations* section(s) of Royal Fund Management, LLC's firm brochure for additional disclosures on this topic.

## Item 6 Supervision

In the supervision of our associated persons, advice provided is limited based on the restrictions set by Royal Fund Management, LLC, and by internal decisions as to the types of investments that may be included in client portfolios. We conduct periodic reviews of client holdings and documented suitability information to provide reasonable assurance that the advice provided remains aligned with each client's stated investment objectives and with our internal guidelines.

My supervisor is: Stephanie Maufroy, Chief Compliance Officer or Mark Sorensen, Chief Executive Officer

Supervisor phone number: 352-750-1637